

COVER SHEET

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SEC Registration Number

COAL ASIA HOLDINGS, INC.

(Company's Full Name)

3RD FLOOR JTKC CENTER, 2155  
CHINO ROCES AVE, MAKATI CITY

ANN MARGARET K. LORENZO

632-0905  
Company Telephone Number

1 2    3 1  
Month    Day  
Fiscal Year

Month    Day  
Annual Meeting

Amended Definitive 20-IS

Form Type

Secondary License Type, if applicable

Department Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings  
Domestic    Foreign

To be Accomplished by SEC Personnel Concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. use black ink for scanning purposes

Name	Position with the Company	Date of First Election/Last Election
Harald R. Tomintz	Director and Chairman	July 2012/1 August 2017
Johnson A. Sanhi, Jr.	Director, President and Chief Operating Officer	21 July 2014/1 August 2017
Dexter Y. Tiu	Director and Treasurer	June 2012/1 August 2017
Eric Y. Roxas	Director	June 2012/1 August 2017
Arsenio Bartolome III	Independent Director	August 2017 /1 August 2017
Juan Kevin G. Belmonte	Independent Director	July 2012/1 August 2017
Aristides Armas	Independent Director	July 2012/1 August 2017
A. Bayani K. Tan	Corporate Secretary	August 2017 /1 August 2017
Rolando P. Domingo	Chief Financial Officer	August 2017/1 August 2017
Enrique C. Payawal	Vice President-Exploration	July 2012/1 August 2017
Gizella Greta D. J. Gonzales	Senior Geologist	July 2012/1 August 2017
Ann Margaret K. Lorenzo	Assistant Corporate Secretary	March 2017/1 August 2017
Rosanna T. Desiderio	Accounting Manager and Corporate Information Officer/Compliance Officer	July 2012/1 August 2017

### **Board of Directors**

The present members of the Board of Directors (“BOD”) were elected during the stockholders’ meeting held on 1 August 2017. The term of the current members of the BOD shall be until the next stockholders’ meeting, or on 7 August 2018.

The Corporate Governance Committee is composed of its Chairman, Mr. Arsenio M. Bartolome III, and two members, Messrs. Aristides S. Armas and Juan Kevin G. Belmonte. In accordance with the Securities Regulation Code and its Implementing Rules and Regulations, the Corporate Governance Committee met on 27 March 2018 and nominated Messrs. Tomintz, Sanhi, Tiu, Roxas, Belmonte, Armas and Bartolome for election to the Board.

The business experience for at least the last five (5) years of the Company’s candidates for election as Directors, as well as the Executive Officers, are as follows:

#### ***Harald R. Tomintz, 65, Austrian, Chairman of the Board***

Harald R. Tomintz is the Chairman of the Company. Mr. Tomintz is also the President of Rekom Manila Corporation and a Board Supervisor of Zhangzhou Stronghold Steelworks Corporation, in addition, since 2015 he also serves in the Board of Directors of Philippine Power and Development Corporation. His past positions include being the President of VATECH Phils., Inc. (2002-2004), member of the Executive Committee, Director of Semirara Coal Corporation (1992-1997), and Director of Ferrochrome Philippines (1992-1995). He holds a degree in Mechanical Engineering from BULME in Graz-Goesting and SZA Vienna, Austria.

#### ***Johnson A. Sanhi, Jr., 53, Filipino, President & Chief Operating Officer***

Johnson A. Sanhi, Jr., 53, has been with TMEC from 2010 to present. He was previously VP-Operations of Stronghold Steel Corporation. He was former Vice President and Plant

Manager at Cathay Pacific Steel Corporation where he worked for 23 years. He has earned Masteral units in Chemical Engineering from the University of the Philippines Diliman. He obtained his Bachelor of Science degree in Chemical Engineering from De La Salle University.

***Dexter Y. Tiu, 46, Filipino, Director and Treasurer***

Dexter Y. Tiu, Filipino, 46, is the Treasurer and a Director of the Company. Mr. Tiu is the Chairman and CEO of Pure Energy Holdings Corporation and the President and CEO of Philippine Power Development & Co. He is both Director and Treasurer of Titan Mining & Energy Corporation. He is also a director of JTKC Equities, Inc. and Star Equities, Inc. He also serves as Vice Chairman of Zhangzhou Stronghold Steelworks Corporation based in Xiamen, China. He holds a Bachelor of Science degree in Mechanical Engineering from De La Salle University.

***Eric Y. Roxas, 55, Filipino, Director, Corporate Secretary***

Eric Y. Roxas is an incorporator and Director of the Company. Mr. Roxas is also a Director and Corporate Secretary of Pure Energy Holdings Corporation. Concurrently, he is the President of Husky Trading Corp., Supra Finishings Corporation, Philippine Welding Technology Skills & Services Center, Inc., and Husky Calibration Specialists, Inc. He is also a Director and Treasurer of Eagle Equities, Inc. He holds a Bachelor of Science degree in Industrial Engineering from De La Salle University.

**Independent Directors**

Pursuant to the requirements of Section 38 of the SRC, the Company's Board of Directors and stockholders approved the amendment of the Company's By-Laws adopting the requirement on the nomination and election of independent directors on 18 June 2012. The Amended By-Laws was approved by the SEC on 22 June 2012.

During its meeting on 27 March 2018, the Corporate Governance Committee endorsed the nominations in favor of Mr. Juan Kevin Belmonte (made by Mr. Dexter Tiu), Mr. Aristides Armas (made by Mr. Johnson Sanhi, Jr.) and Mr. Arsenio Bartolome III (made by Mr. A. Bayani K. Tan). Except as fellow stockholders of the Corporation, the nominees for independent director are not related to the persons nominating them.

The nomination, pre-screening and election of independent directors were made in compliance with the requirements of the Code of Corporate Governance and the Securities and Exchange Commission's Guidelines on the Nomination and Election of Independent Directors which have been adopted and made part of the Corporation's By-Laws.

***Juan Kevin G. Belmonte, 54, Filipino, Independent Director***

Juan Kevin G. Belmonte is an Independent Director of the Corporation. He is also currently the President and CEO of Philstar.com, a director of People Asia, and Vice Chairman of Nuvoland Philippines. He is also a director of Philstar Daily, Inc., IPVG Corporation, IP E-Games Corporation, EEI Corporation, and the Development Bank of the Philippines. He is also the current Chairman and immediate past President of the Cactus and Succulent Society of the Philippines. He was a former manager and partner of Arthur Andersen & Co. – SGV & Co., and senior consultant and manager of Andersen Consulting in Chicago, USA, and past director of Bantay Kalikasan and ABS-CBN Foundation Initiative. He holds a Master of Management degree from the J.L. Kellogg Graduate School of Management, Northwestern University and has a Bachelor of Arts degree in Economics from Ateneo de Manila University.

***Aristides S. Armas, 73, Filipino, Independent Director***

Aristides S. Armas is the President of Asia United Leasing & Finance Corporation and Asia United Fleet Management Services, Inc. He was previously the President of UCPB Leasing and Financing Corporation. He has 40 years of experience in banking and financing. He previously held executive positions in Citibank, BA Finance Corporation, UCPB Savings Bank, and United Coconut Planters Bank. He earned his Bachelor of Arts degree and Bachelor of Science degree in Commerce from the De La Salle University.

***Arsenio M. Bartolome III, 77, Filipino, Independent Director***

Arsenio M. Bartolome III is the Chairman and President of Amber Properties, Inc. He previously held several positions in the public sector, namely North Luzon Railway Corporation (Chairman and President), Philippine National Bank (President and Chief Executive Officer), Bases Conversion and Development Authority (First Chairman and President), Century Bank, Los Angeles, California (Chairman), PNB International Finance, Hong Kong (Chairman) and PNB Europe (Chairman). He also previously held positions in the following private sector institutions: Urban Bank (Chairman and President), H&Q Philippine Ventures, Inc. (Chairman), First Philippine Fund, New York City (Chairman), Rizal Commercial Banking Corporation (Treasurer and Senior Vice President), Multinational Investment Bancorporation (General Manager), Citibank, Manila (Head, Treasury Group), Bancom Development Corporation (Head, Money Market Department) and Wells Farfo Bank, San Francisco, California (Negotiator, International Banking). Mr. Bartolome also served as President of the following professional associations: Management Association of the Philippines, Financial Executives of the Philippines, Asian Pacific Bankers Club, Development Bankers Association of the Philippines, and Money Market Association of the Philippines. He was also previously a director of Bankers Association of the Philippines and Philippine Chamber of Commerce and Industry, as well as governor of the Makati Stock Exchange. Mr. Bartolome has a degree in Business Administration from the University of the East (Manila). He also took up an Advanced Banking Program from American Institute of Banking (San Francisco Chapter) and a Top Management Program from the Asian Institute of Management (Manila).

**Principal Officers**

***Harald R. Tomintz, 65, Austrian, Chairman of the Board***

***Johnson A. Sanhi, Jr., 53, Filipino, President & Chief Operating Officer***

***Dexter Y. Tiu, 46, Filipino, Director and Treasurer***

***Eric Y. Roxas, 55, Filipino, Director***

***Rolando P. Domingo, 48, Filipino, Chief Financial Officer – OIC***

Rolando P. Domingo is the Chief Financial Officer of the Company. He was a banker for 20 years, holding VP Controller and other key positions at BPI Family Bank, Asian Bank, and BSA. He has over 25 years' experience in real estate as SVP-Controller for the St. Francis Square Group, and is well versed in SEC and BIR regulatory, financial and tax matters. He is both a licensed Certified Public Accountant and an Attorney at Law. He obtained his BSC – Major in Accounting in 1969, and his LLB – Bachelor of Laws in 1992, from Far Eastern University.